CMMC Level 1 Evidence Workbook

Practical templates and checklists for internal/external audits

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How to Use This Workbook

This workbook provides auditor-friendly templates mapped to the 17 CMMC Level 1 practices. Each practice section includes: scope notes, what auditors expect, acceptable evidence, a checklist, and placeholders to attach screenshots, reports, and approvals. Complete one section per system in scope that processes, stores, or transmits Federal Contract Information (FCI).

AC.L1-3.1.1 — Authorized access control

Scope Notes

Systems/locations in scope for this practice:

System / Tool	FCI Touchpoints (process/store/transmit)	Owner

What Auditors Expect

- A consistent control is implemented where FCI is handled
- Evidence is current (last 30–90 days) and shows effectiveness

Acceptable Evidence (Attach or link below)

• Screenshots
• System reports
• Tickets/approvals
• Policies/procedures excerpts

Checklist

Requirement	Status / Notes
Defined and documented approach exists	
Configured in all in-scope systems	
Monitored/verified routinely	
Recent evidence captured (≤90 days)	
Responsible role identified	
Exceptions tracked (if any)	

Evidence Log

File/Link	Description	Date	Reviewer

AC.L1-3.1.2 — Limit access to authorized users (least privilege)

Scope Notes

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AC.L1-3.1.20 — Control external connections (remote access/APIs)

Scope Notes

Systems/locations in scope for this practice:

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Evidence Log

File/Link	Description	Date	Reviewer

IA.L1-3.5.1 — Identify users uniquely (no shared logins)

Scope Notes

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File/Link	Description	Date	Reviewer

IA.L1-3.5.2 — Authenticate users (passwords/MFA)

Scope Notes

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MP.L1-3.8.3 — Sanitize/destroy media before disposal

Scope Notes

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File/Link	Description	Date	Reviewer

PE.L1-3.10.1 — Limit physical access to systems with FCI

Scope Notes

Systems/locations in scope for this practice:

System / Tool	FCI Touchpoints (process/store/transmit)	Owner

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Evidence Log

File/Link	Description	Date	Reviewer

PE.L1-3.10.3 — Escort visitors and monitor access

Scope Notes

Systems/locations in scope for this practice:

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SC.L1-3.13.1 — Boundary protection (firewalls, filters, TLS)

Scope Notes

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SC.L1-3.13.5 — Limit public posting of information (no FCI on public sites)

Scope Notes

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SI.L1-3.14.1 — Identify, report, and correct flaws (patching)

Scope Notes

Systems/locations in scope for this practice:

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SI.L1-3.14.2 — Protect against malicious code (AV/EDR)

Scope Notes

Systems/locations in scope for this practice:

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SI.L1-3.14.4 — Perform periodic and real-time scans

Scope Notes

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AC.L1-3.1.22 — Control information posted on public systems (reinforced)

Scope Notes

Systems/locations in scope for this practice:

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SC.L1-3.13.2 — Secure network communications (TLS in transit)

Scope Notes

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AM.L1-3.12.1 — Identify devices (asset inventory) [workbook helper]

Scope Notes

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PM.L1-3.0.0 — Policy & training acknowledgment for Level 1 [workbook helper]

Scope Notes

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Appendix A — One-Page FCI Identification Checklist

Use this to label artifacts as FCI before storage or transmission.

Question	Yes/No
Provided by or generated for the Government under a contract	
Not intended for public release	
More than simple payment/transactional info	
Contains contract identifiers or performance details	
Appears in email, tickets, logs, reports, or shared folders	
Flow-down needed to subs who may touch this information	